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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/581,401	09/25/2007	Jean-Christophe Pages	5058-108 US	3769

26817 7590 04/08/2009  
MATHEWS, SHEPHERD, MCKAY, & BRUNEAU, P.A.  
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PRINCETON, NJ 08540

EXAMINER
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MARVICH, MARIA

ART UNIT	PAPER NUMBER
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1633

MAIL DATE	DELIVERY MODE
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04/08/2009

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/581,401	<b>Applicant(s)</b> PAGES, JEAN-CHRISTOPHE	
	<b>Examiner</b> MARIA B. MARVICH	<b>Art Unit</b> 1633	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) 9 and 11 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8, 10 and 12-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 6/1/06 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. ____.                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>2/7/08</u> .  | 6) <input type="checkbox"/> Other: ____.                          |

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**DETAILED ACTION**

Claims 1-24 are pending. Claims 9 and 11 have been withdrawn from consideration, as the meanings of the claims are so unclear. The claims are directed to “use of” products, which is not a recognized class of inventions under 35 USC 101. A determination as to whether claims 9 and 11 require restriction requirement or are part of the examined invention will be made upon amendment of the claims.

***Sequence Compliance***

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth below or on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Specifically, page 6, line 22 and 24, page 10, line 26 and 30, page 11, line 15 and figure 2 contain sequences that are not identified by sequence identifier numbers. If the sequences can be found in the sequence listing it would be remedial to insert the appropriate SEQ ID NO:s. If not, a substitute paper copy of the “Sequence Listing”, as well as an amendment directing its entry into the specification, CRF and letter stating that the contents of the sequence listing and the CRF are the same and contain no new matter is required. **The nature of the non-compliance did not preclude the examination on the merits of the instant application, the results of which follow.**

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### ***Drawings***

Figures 1 and 2 are objected to under 37 CFR 1.83(a) because they fail to show any details as described in the specification. The details within the grayed boxes are indiscernible as the image is too dark. Any structural detail that is essential for a proper understanding of the disclosed invention should be shown in the drawing. MPEP § 608.02(d). A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

### ***Specification***

The disclosure is objected to because it contains an embedded hyperlink and/or other form of browser-executable code on page 5, line 20 and page 18, line 11. Applicant is required to delete the embedded hyperlink and/or other form of browser-executable code. See MPEP § 608.01.

The use of the trademarks throughout the specification has been noted in this application. It should be capitalized wherever it appears and be accompanied by the generic terminology.

Although the use of trademarks is permissible in patent applications, the proprietary nature of the marks should be respected and every effort made to prevent their use in any manner which might adversely affect their validity as trademarks.

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***Claim Objections***

Claims 1-8, 10 and 12-24 are objected to because of the following informalities: claims 1-24 require articles at the beginning of each claim. Independent claims should use the article “a” or “an” and dependent claims “the”.

Claim 1 recites that the particle “consists” of structural elements. Use of the phrase “consisting” is closed meaning that the particle comprises no other components. However, the particle also contains a vector. If the claim intends that the particle structural elements consist of elements that are not from alphavirus, it would be remedial to recite, --wherein the viral particle structural proteins consist of structural proteins not from an alphavirus--.

In claim 2, the recitation “elements correspond to the VSV-G envelope protein alone” appears to imply that the structural --elements consist of VSV-G protein--. First, “correspond to” does not require a direct relationship. Secondly, the recitation “VSV-G envelope protein alone” can simply mean that VSV-G protein is not coupled to another protein. Appropriate correction is required.

***Claim Rejections - 35 USC § 112, second paragraph***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-8, 10 and 12-24 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

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The dependent claims are included in the rejection because they fail to address or clarify the basis of the rejection as discussed in detail for the independent claims.

Claim 1 is vague and indefinite in that the metes and bounds of the term “derived from” are unclear. It is unclear the nature and number of steps required to obtain a “derivative” of an alphavirus or alphavirus vector. The term implies a number of different steps that may or may not result in a change in the functional characteristics of the structural elements or vectors from the source that it is “derived from”.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-4, 6, 7, 10, 12-20, 22 and 23 are rejected under 35 U.S.C. 102(b) as being anticipated by Dubensky et al (US 5,843,723; see entire document).

Applicant cannot rely upon the foreign priority papers to overcome this rejection because a translation of said papers has not been made of record in accordance with 37 CFR 1.55. See MPEP § 201.15. A translation of PCT/JP03/04553 is also required to ascertain that the designation that this application is a continuation of JP 2002-108550.

Dubensky et al teach a pseudotyped alphavirus comprising retrovirus envelope. As depicted in figure 8, the vector sequences are deleted of the structural genes which are replaced by at least one transgene. According to the claims such a virus would be replication defective,

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“vector made replication defective by deletion or replacement with at least one transgene, of the structural gene”. Furthermore, the alphavirus is grown in a packaging cell line expressing VSV-G (see col 6, line 4-30). Pharmaceutical compositions are taught (see col 48). The alphavirus psi region is deleted and replaced with that from retrovirus (see e.g. col 98, line 17-33). Methods of producing the viruses are taught (see e.g. col 96, line 40- col 98, line 33). For example, packaging cell lines expressing gag/pol and env are provided (see e.g. col 3, line 28-38). The cells can be 293 cells (see e.g. col 94, line 6-13). Retroviral-based particles containing alphavirus vector RNA are produced by transfecting in vitro transcribed alphavirus vector RNA using procedures that have been described previously. Supernatants with pseudotyped retroviral particles containing alphavirus RNA vector are harvested at 24 hours post-transfection, and these supernatants are then used to transduce an alphavirus packaging cell line (col 98, line 26-36). These lines can comprise stably expressing gag/pol (see claim 45). Based upon the definition of encapsidation cell lines, the cells of Dubensky meet requirements of such cells.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-8, 10 and 12-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dubensky et al (US 5,843,723; see entire document) in view of Kung et al (see J Vi, 2000, pp

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3668-3681; see entire document) or Raju et al, (JVi, 1991, Vol 65(5), pages 2501-2510; see entire document).

Applicants claim a viral particle consisting of structural elements not from an alphavirus and containing an alphavirus vector made replication-defective by deletion or replacement with at least one transgene of the structural genes. The vector has a mutated p26S promoter and contains an extended packaging sequence of MLV vectors.

The teachings of Dubensky et al are described above and are applied as before except;

Dubensky et al do not teach that the vector has a mutated p26S promoter and contains an extended packaging sequence of MLV vectors.

Kung et al teach pseudotyping of lentivirus using MLV env protein VSV-G1. The vector incorporates the MLV extended packaging sequence, essential for the increase in retrovirus vector titers and gene transfer efficiency (see e.g. page 3669, ¶ 5). Kung et al teach a novel, unappreciated feature of this extended packaging sequence in gene expression. First, the extended packaging sequence stabilizes the mRNA transcript and therefore allows higher gene expression. Second, it may allow higher gene expression through a more efficient mRNA nuclear export.

Raju et al teach construction and use of mutant 26S promoters which alter the activity level of the promoter. Hence, higher and less activity can be provided by altering the mutant or wild-type promoter used (see e.g. abstract).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to use the MLV extended packaging system as taught by Kung et al and the mutant 26S promoter as taught by Raju et al with the alphavirus vector expression system as taught by



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Dubensky et al because Kung et al teach that the extended packaging system can be used in vectors for production of pseudotyped particles and because Raju et al teach that the mutant 26s promoters can be used to express heterologous proteins. One would have been motivated to do so in order to receive the expected benefit of improved particle expression as well as improved protein expression. Furthermore, the combination of references demonstrates that it would be within skill of the art to use well known promoters in well known systems with predictable results. Based upon the teachings of the cited references, the high skill of one of ordinary skill in the art, and absent evidence to the contrary, there would have been a reasonable expectation of success to result in the claimed invention.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MARIA B. MARVICH whose telephone number is (571)272-0774. The examiner can normally be reached on M-F (7:00-4:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Woitach, PhD can be reached on (571)-272-0739. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Maria B Marvich, PhD  
Primary Examiner  
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